COGENT
INDEPENDENT ADVISORS, INC.

Client Profile Form

Regulations require each Registered Investment Advisor to maintain written information about each advisory Client as a basis for making any recommendation or providing any investment advice. This information is confidential and will only be used by us to help achieve your unique financial goals.

Client 1 Information

First, Middle, Last Name:	SSN:	Date of Birth:	Phone Number
Physical Address: (Number and Street) (City) (State) (Zip)			
Mailing Address: (if different):			
Email Address:	Marital Status: Single Married Divorced Widow(er)		
Employment Status: Employed Self-Employed Homemaker Unemployed Student Retired			
Employer (if unemployed or retired, indicate "n/a"):	Employer address: City/State/Zip (if unemployed or retired, indicate "n/a"):		
Occupation (if retired, indicate previous occupation):	Work Phone:		Annual Salary:
Identity Verification - US Citizenship: ☐Yes ☐No			

Driver's License, U.S. Passport, or Green Card ID Number:	State or Country of Issue:	Date of Issue:	Date of Expiration:	If Not US Citizen, Country of Citizenship:
Are you a 10% shareholder of a publicly traded company? ☐Yes ☐No	If Yes, Company Name:	If affiliated with an investment, CUSIP:	Are you or anyone of interest in your account(s) a politically exposed person (Foreign Political Official)? ☐Yes ☐No	

Client 2 Information

First, Middle, Last Name:	SSN:	Date of Birth:	Phone Number
Physical Address: (Number and Street) (City) (State) (Zip)			
Mailing Address: (if different):			
Email Address:	Marital Status: Single Married Divorced Widow(er)		
Employment Status: Employed Self-Employed Homemaker Unemployed Student Retired			
Employer (if unemployed or retired, indicate "n/a"):	Employer address: City/State/Zip (if unemployed or retired, indicate "n/a"):		
Occupation (if retired, indicate previous occupation):	Work Phone:		Annual Salary:
Identity Verification - US Citizenship: ☐Yes ☐No			

Driver's license or U.S. Passport ID Number:	State or Country of Issue:	Date of Issue:	Date of Expiration:	If Not US Citizen, Country of Citizenship:
Are you a 10% shareholder of a publicly traded company? ☐Yes ☐No	If Yes, Company Name:	If affiliated with an investment, CUSIP:	Are you or anyone of interest in your account(s) a politically exposed person (Foreign Political Official)? ☐Yes ☐No	

Client 1 and Client 2 Investor Information

Investment Experience	Current Tax Bracket	Household Annual Income	Net Worth (minus residence)	Liquid Net Worth
______Limited ______Moderate ______Extensive	_____15% or below _____16% to 31% _____32% or above	_____Less than $25,000 _____$25,000 to $50,000 _____$50,001 to $100,000 _____$100,001 to $250,000 _____Over $250,000	_____Under $100,000 _____$100,000 to $250,000 _____$250,001 to $500,000 _____$500,001 to $1,000,000 _____Over $1,000,000	_____Under $100,000 _____$100,000 to $250,000 _____$250,001 to $500,000 _____$500,001 to $1,000,000 _____Over $1,000,000

Overall Risk Tolerance (Not Account Specific)	Investment Objectives (Pick One)
_____Conservative _____Moderately Conservative _____Moderate _____Moderately Aggressive _____Aggressive	_____Preservation of Capital _____Income _____Growth with Income _____Growth

Current Investments & Experience:

Investments Owned (Check Any):	Number of Years Owned:	Total Investment Assets:
Mutual Funds		☐Under $200k ☐$200k-$500k ☐$500k-$1MM ☐$1MM-$2MM ☐$2MM+
Individual Stocks		
Individual Bonds		How Do You Rate Your Understanding of Your Current Investments?
Annuities		☐ Little or None ☐Poor ☐Average ☐Good ☐Excellent
Private Investments		
CDs/Money Markets		

Notes:

Acknowledgements:
By signing below, I/we acknowledge, that the above information is true and accurate to the best of my/our knowledge.

Client Signature Date

Client Name (Please Print)

Joint Client Signature, if applicable Date

Joint Name if applicable (please print)

Advisor Signature Date

Advisor Name (please print)