



Colorado Office:
19501 East Mainstreet Ave., Suite 200
Parker, CO 80138
(303) 835-9006

SPEAKING ENGAGEMENT AGREEMENT

Client Name:
Client Address:
Client Phone: Email:

On this \_\_\_ day of \_\_\_ in the year \_\_\_, by and between Cogent Independent Advisors, Inc. dba Cogent Private Wealth ("Cogent") and \_\_\_ ("Client"):

Client acknowledges \_\_\_ is an Investment Advisor Representative ("IAR") with Cogent and will be providing financial speaking services. Cogent hereby accepts appointment and fiduciary duty of utmost good faith to act solely in the best interests of each client pursuant to the terms and conditions set forth in this Agreement and to comply with impartial conduct standards of:

- Charging no more than reasonable compensation for services provided; and
• Making no misleading statements regarding investments, compensation and conflicts of interest.

Sampling of Speaking Topics (These topics may change.)

- Achieving Financial Security
• Embracing Your Inner Investor
• You Don't Have to Give Up Your Coffee to Manage Your Money!
• Knowing How to Transition Smoothly
• Pros & Cons of Planning for Retirement

The fee for these services, are negotiable but typically between \$5,000 and \$7,500 which includes airfare, hotel, ground transportation, food and all other miscellaneous expenses.

The estimated fee for this speaking engagement is \$\_\_\_\_\_.

Speaking engagement is scheduled for: \_\_\_\_\_.

Initial retainer fee

The required deposit is a fee of \$500.

Other Fees

Three months prior to the speaking engagement half of the remainder of the total fee of

\$\_\_\_\_\_ will be due. This fee will be due on: \_\_\_\_\_.

The balance remaining of the total fee of \$\_\_\_\_\_ is due upon arrival the day of the engagement.

DURATION and TERMINATION

Client may cancel by providing the firm with written notification within five (5) days of signing Agreement for a full refund. After five business days any travel expenses incurred will be due to Cogent and forfeited by the organization. If the client cancels after five (5) days, any unearned fees will be refunded to the client. Earned fees will be charged at a rate of \$250 per hour. No assignment of this Agreement by Cogent shall be effective without the prior written consent of Client.

**CONFIDENTIALITY**

Except as otherwise agreed in writing or as required by law, Cogent will exercise the highest degree of due diligence and care with respect to keeping confidential all Client information. In addition, Client grants Cogent authority to discuss, disclose, and provide confidential Client information to outside attorneys, auditors, consultants and any other professional advisors retained by Cogent to assist in the management of this Agreement.

**GOVERNING LAW**

The validity of this Agreement and the rights and liabilities of the parties hereunder shall be determined in accordance with the laws of the state in which the client resides.

Notwithstanding the foregoing, nothing contained in the preceding paragraph or elsewhere in this Agreement shall constitute a waiver by Client of any of its legal rights under applicable state and federal securities laws or any other laws whose applicability is not permitted to be contractually waived.

**BROCHURE**

Client acknowledges receipt of the Privacy Policy and Form ADV Part 2A & 2B of Cogent. For the purposes of this provision, a contract is considered entered into when all parties to the contract have signed the contract, signified their acceptance, any other provisions of this contract notwithstanding.

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Client Printed Name

\_\_\_\_\_  
Client Printed Name

\_\_\_\_\_  
IAR Printed Name

\_\_\_\_\_  
IAR Signature